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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: June 3, 2004 Name: Tadashi Horie Reg. No. 40,437 Signature: *Tadashi Horie*

BRINKS
HOFFER
GILSON
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Masaru YAMASHITA

Appln. No.: 10/814,718

Filed: March 31, 2004

For: TRANSFER TAPE

Examiner: To Be Assigned

Art Unit: To Be Assigned

Attorney Docket No: 12401/5

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Supplemental Information Disclosure Statement (in dup.), Form PTO-1449, 9 Cited References, Submission of Foreign Priority Document, Priority Document (JP2001-305412)
- ☒ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(_____).
- ☐ An additional filing fee has been calculated as shown below:

| | | | | | Small Entity | | | Not a Small Entity | |
|---|----------------------------------|-------|---------------------------------|---------------|--------------|-----------|----|--------------------|-----------|
| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra | Rate | Add'l Fee | or | Rate | Add'l Fee |
| Total | | Minus | | | x \$9= | | | x \$18= | |
| Indep. | | Minus | | | x 43= | | | x \$86= | |
| First Presentation of Multiple Dep. Claim | | | | | +\$145= | | | +\$290= | |
| | | | | | Total | \$ | | Total | \$ |

Fee payment:

- ☐ A check in the amount of \$_____ to cover the above-identified fee(s) is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

June 3, 2004

Date

Tadashi Horie

Tadashi Horie (Reg. No. 40,437)

CERTIFICATE OF MAILING

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June 3, 2004

Date of Deposit

Tadashi Horie, Reg. No. 40,437

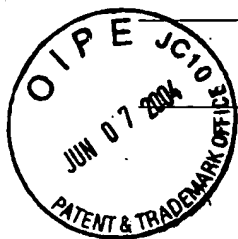
Name of Applicant, Assignee or
Registered Representative

Tadashi Horie

Signature

June 3, 2004

Date of Signature



Our Case No.: **12401/5**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Masaru YAMASHITA

Serial No.: 10/814,718

Filing Date: March 31, 2004

For: TRANSFER TAPE

Examiner: To Be Assigned

Group Art Unit No.: To Be Assigned

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment
Commissioner for Patent
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. The available translations or portions of the available

translations for the listed documents as required by 37 C.F.R. § 1.98(a)(3)(ii) are enclosed for the convenience of the Examiner.

The references now cited are the following:

FOREIGN PATENT DOCUMENT

| DOCUMENT NUMBER | DATE | COUNTRY |
|----------------------------|-------------|----------------|
| JP 04-046070 | 04/1992 | Japan |
| JP 05-239413 | 09/1993 | Japan |
| JP 06-008362 | 02/1994 | Japan |
| JP 07-267478 | 10/1995 | Japan |
| JP 09-001986 | 01/1997 | Japan |
| JP 09-071097 | 03/1997 | Japan |
| JP 2001-240812 | 09/2001 | Japan |
| JP 2001-192625 | 07/2001 | Japan |
| JP 2001-098233 | 04/2001 | Japan |

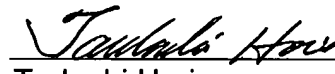
In accordance with 37 C.F.R. § 1.97(g),(h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Pursuant to the undersigned attorney's obligation and duties under C.F.R. §§ 1.56 and 1.98(a)(3), English language versions of Japanese Patent Publication JP 07-267478, JP 09-001986, JP 06-008362, JP 09-071097, JP 2001-098233, JP 2001-192625, JP 2001-240812 and JP 05-239413 are provided for the express purpose of providing a concise explanation of those references to the Examiner and the USPTO with an opportunity to evaluate the same. No available translation is available for Japanese Patent Publication No. JP 04-046070; however the figures are relevant to the claimed invention.

This Supplemental Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

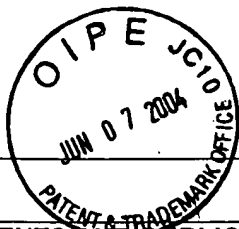
Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



Tadashi Horie
Registration No. 40,437
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE
P.O. Box 10395
Chicago, IL 60610
(312) 321-4200



| | | |
|---|-------------------------------|----------------------------------|
| FORM PTO-1449 | SERIAL NO. 10/814,718 | CASE NO. 12401/5 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary) | FILING DATE March 31, 2004 | GROUP ART UNIT To Be Assigned |
| APPLICANT(S): Masaru YAMASHITA | | |

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | DATE | NAME | CLASS/ SUBCLASS | FILING DATE |
|---------------------|---|--|------|------|--------------------|----------------|
| | B | | | | | |
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FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | DATE | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES OR NO |
|---------------------|----|--|------------|---------|--------------------|--------------------------|
| | B1 | H 04-046070 | 04/20/1992 | Japan | | No |
| | B2 | H 05-239413 | 09/17/1993 | Japan | | Yes |
| | B3 | H 06-008362 | 02/01/1994 | Japan | | Yes |
| | B4 | H 07-267478 | 10/17/1995 | Japan | | Yes |
| | B5 | H 09-001986 | 01/07/1997 | Japan | | Yes |
| | B6 | H 09-071097 | 03/18/1997 | Japan | | Yes |
| | B7 | 2001-240812 | 09/04/2001 | Japan | | Yes |
| | B8 | 2001-192625 | 07/17/2001 | Japan | | Yes |
| | B9 | 2001-098233 | 04/10/2001 | Japan | | Yes |
| | B | | | | | |
| | B | | | | | |

OTHER ART – NON PATENT LITERATURE DOCUMENTS

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.

| EXAMINER INITIAL | | |
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| | B | |
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| EXAMINER | DATE CONSIDERED |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.